



International Oil Pollution  
Compensation Funds

Fonds internationaux  
d'indemnisation pour les  
dommages dus à la pollution  
par les hydrocarbures

Fondos internacionales  
de indemnización de daños  
debidos a contaminación por  
hidrocarburos

## **SUPPLEMENTARY FUND RESOLUTIONS**

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**Resolution N°1—Joint Secretariat** (March 2005)

THE ASSEMBLY OF THE INTERNATIONAL OIL POLLUTION COMPENSATION FUND, 1992 (1992 Fund),  
THE ADMINISTRATIVE COUNCIL OF THE INTERNATIONAL OIL POLLUTION COMPENSATION FUND, 1971 (1971 Fund), and  
THE ASSEMBLY OF THE INTERNATIONAL OIL POLLUTION COMPENSATION SUPPLEMENTARY FUND, 2003 (Supplementary  
Fund)

**NOTING** that the Protocol of 2003 to the International Convention on the Establishment of an  
International Fund for Compensation for Oil Pollution Damage, 1992 entered into force on 3 March 2005,  
thereby establishing the Supplementary Fund,

**AWARE** that since the establishment of the 1992 Fund in 1996, the 1971 Fund and the 1992 Fund have  
been administered by a joint Secretariat headed by a single Director,

**RECALLING** that from 1996 to 1998 the Secretariat of the 1971 Fund administered the 1992 Fund,  
whereas since 1998 the Secretariat of the 1992 Fund has served as Secretariat of the 1971 Fund also,

**RECOGNISING** the benefits of the present arrangement,

**BELIEVING** that a similar arrangement in respect of the Supplementary Fund would be beneficial,

**CONSIDERING** that the 1992 Fund, the 1971 Fund and the Supplementary Fund should be administered  
by one Secretariat headed by a single Director,

**TAKING THE VIEW** that the most appropriate arrangement would be for the Secretariat of the 1992  
Fund to function as the Secretariat not only of the 1971 Fund but also of the Supplementary Fund and that  
the Director of the 1992 Fund, in addition to being *ex officio* Director of the 1971 Fund, should also be *ex  
officio* Director of the Supplementary Fund.

**DECIDE**

- 1 The Secretariat of the 1992 Fund shall as hitherto administer the 1971 Fund and shall also  
administer the Supplementary Fund.
- 2 The Director of the 1992 Fund shall continue *ex officio* to be Director of the 1971 Fund and  
shall also *ex officio* be Director of the Supplementary Fund.

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### **Resolution N°2—Measures in respect of Contributions** (October 2009)

THE ADMINISTRATIVE COUNCIL ACTING ON BEHALF OF THE ASSEMBLY OF THE INTERNATIONAL OIL POLLUTION COMPENSATION FUND, 1992 (1992 Fund), and

THE ASSEMBLY OF THE INTERNATIONAL OIL POLLUTION COMPENSATION SUPPLEMENTARY FUND, 2003 (Supplementary Fund),

**NOTING** that the International Convention on the Establishment of an International Fund for Compensation for Oil Pollution Damage, 1992 and the Protocol of 2003 to the International Convention on the Establishment of an International Fund for Compensation for Oil Pollution Damage, 1992 were established to pay adequate compensation and to this end contributions are required to fund payment of claims,

**RECOGNISING** that States Parties in accepting the Conventions have agreed to ensure that contributors fulfil their obligations under Article 13, paragraph 2 of the 1992 Fund Convention and Article 12, paragraph 1 of the 2003 Supplementary Fund Protocol,

**RECOGNISING ALSO** that the Funds cannot operate effectively and equitably unless oil reports and contributions are received in a timely manner,

- 1 **ENDORSE** current measures employed by the Director and Secretariat for following up arrears of contributions,
- 2 **CALL ON** all receivers of contributing oil to comply with their obligations under the Conventions,
- 3 **URGE** associations representing receivers of contributing oil to engage proactively in ensuring that obligations by industry members are met and to report to the Director/Secretariat on the measures taken,
- 4 **FURTHER URGE** States Parties to ensure that they have taken all necessary measures to implement effectively their obligations under Article 13, paragraph 2 of the 1992 Fund Convention and Article 12, paragraph 1 of the 2003 Supplementary Fund Protocol, whilst reminding them of the option to make use of Article 14, paragraph 1 of the 1992 Fund Convention and Article 12, paragraph 2 of the 2003 Supplementary Fund Protocol,
- 5 **REQUEST** States Parties to report to the Director the means by which they have implemented their obligations under Article 13, paragraph 2 of the 1992 Fund Convention and Article 12, paragraph 1 of the 2003 Supplementary Fund Protocol, so that, based on the information submitted, the Secretariat, with the assistance of the Audit Body, may summarise such means and report such information to the 1992 Fund Assembly and Supplementary Fund Assembly,
- 6 **CALL SPECIFICALLY ON** States Parties with contributors who are in arrears to report to the Director the means by which they have implemented their obligations under Article 13, paragraph 2 of the 1992 Fund Convention and Article 12, paragraph 1 of the 2003 Supplementary Fund Protocol and on any steps they have taken to ensure payment of the outstanding contributions,

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- 7 **ALSO REQUEST** that the Director, in consultation with the State(s) Parties concerned, should consider options for providing, as part of regular reports on outstanding contributions, a list of non-contributing 'persons' (entities) and that such a list be made prominent in reports of the operations of the Funds, subject to any applicable laws,
- 8 **DIRECT** the Audit Body to:
  - (a) monitor the effectiveness of the above actions in respect of outstanding contributions;
  - (b) monitor the effectiveness of the new 1992 Fund Policy on Outstanding Oil Reports and Deferment of Compensation Payments, adopted by the 1992 Fund Assembly at its October 2008 session; and
  - (c) report to the 1992 Fund Assembly and Supplementary Fund Assembly on its findings, including recommendations for further measures as may be warranted.

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**Resolution N°3—Measures in respect of outstanding contributions** (April 2016)

THE ASSEMBLY OF THE INTERNATIONAL OIL POLLUTION COMPENSATION SUPPLEMENTARY FUND, 2003 (Supplementary Fund),

**RECALLING** that the International Oil Pollution Compensation Supplementary Fund, 2003 (the Supplementary Fund) was established by the Protocol of 2003 to the International Convention on the Establishment of an International Fund for Compensation for Oil Pollution Damage, 1992 (the Supplementary Fund Protocol) in order to ensure that victims of oil pollution damage are compensated in full for their loss or damage in cases where there is a risk that the amount of compensation available under the International Convention on Civil Liability for Oil Pollution Damage, 1992 and the International Convention on the Establishment of an International Fund for Oil Pollution Damage, 1992 (the 1992 Fund Convention) will be insufficient,

**MINDFUL**, in order to secure full compensation, of the need to ensure payment of annual contributions to the Supplementary Fund as required by Article 10 of the Supplementary Fund Protocol,

**NOTING** the duty of States Parties pursuant to Article 12.1 of the Supplementary Fund Protocol to ensure that any obligation to contribute to the Supplementary Fund arising under the Protocol in respect of oil received within the territory of those States is fulfilled and to this end to take appropriate measures under their law,

**AWARE** that, where States Parties are in breach of their obligations under Article 12.1 of the Supplementary Fund Protocol, then those States Parties bear a responsibility to the Supplementary Fund under public international law,

**BEARING IN MIND** that the Supplementary Fund cannot carry out its mandate nor operate effectively unless contributions are received in a timely manner,

**RECALLING** Supplementary Fund Resolution N°2—Measures in respect of Contributions (October 2009),

**RECALLING FURTHER** 1992 Fund Resolution N°11—Measures in respect of Contributions (October 2009) <sup><1></sup>,

- 1 **ENDORSES** the current efforts of the Director of the Supplementary Fund (the Director) to follow up on arrears of contributions;

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<sup><1></sup> It is necessary in this Resolution of the Supplementary Fund Assembly to make reference to Resolution N°11 because, as is apparent from a reading of the Record of Decisions of the governing bodies (October 2009), only Resolution N°11 was actually considered and adopted, respectively, by the governing body of each Fund.

Resolution N°11 was, after the event, and for the purposes of listing separately as a Resolution of the Supplementary Fund Assembly, renumbered and reproduced as Resolution N°2 of the Supplementary Fund Assembly.

Similar considerations apply to operative paragraph 11 below.

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- 2 **CALLS ON** all receivers of contributing oil to discharge their obligations under the Supplementary Fund Protocol in a timely manner;
  - 3 **URGES** associations representing receivers of contributing oil to engage proactively in ensuring that industry members meet their obligations and to report to the Director on the measures taken in this regard;
  - 4 **FURTHER URGES** all States Parties to fulfil their obligations under Article 12.1 of the Supplementary Fund Protocol, in particular, to ensure payment of contributions;
  - 5 **REMINDS** States Parties of the option contained in Article 12.2 of the Supplementary Fund Protocol whereby a State Party may at any time declare that it assumes the obligation to make contributions to the Supplementary Fund that are otherwise incumbent on persons pursuant to Article 10.1 of the Protocol;
  - 6 **REQUESTS** those State Parties which have contributors that are in arrears with their payments to report to the Director on any steps they have taken to redress the situation;
  - 7 **INSTRUCTS** the Director:
    - (a) in consultation with the Audit Body, to examine the reports referred to in paragraph 6 above and to present any recommendations to the Supplementary Fund Assembly;
    - (b) to report at each regular session of the Supplementary Fund Assembly the names of those States which have not taken steps to ensure the timely payment of contributions; and
    - (c) to include in such reports an account of what actions, if any, have been taken by the States referred to in sub-paragraph (b) in the previous 12 month period in response to any request made by the Director to rectify the situation;
  - 8 **DECIDES** that it shall make a determination as to those States that are found to be in breach of their obligations under Article 12.1 of the Supplementary Fund Protocol for two or more years, in which event any claim submitted by the Administration of those States or public authority working directly on the response or recovery for the pollution incident on behalf of those States will be assessed for admissibility, but actual payment will be deferred pending rectification of the breach;
  - 9 **INSTRUCTS** the Director to develop guidelines in relation to implementation by States Parties of their obligations under Article 12.1 of the Supplementary Fund Protocol;
  - 10 **DIRECTS** the Audit Body to:
    - (a) monitor the effectiveness of the above actions in respect of outstanding contributions; and
    - (b) report to the Supplementary Fund Assembly on its findings, including recommendations for further measures as may be warranted;
  - 11 **REVOKES** Supplementary Fund Resolution N°2 and 1992 Fund Resolution N°11 (October 2009) to the extent that these Resolutions affect the Supplementary Fund.
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